



# Unit 4

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## The Extension Principle

## Images and Preimages of Functions

Let  $f : X \rightarrow Y$  be a function and  $A$  be a subset of  $X$ . Then the *image of  $A$  w.r.t.  $f$*  is defined as follows:

$$f(A) = \{y \in Y \mid \text{there is an } x \in A \text{ such that } y = f(x)\}$$

Let  $B$  be a subset of  $Y$ . Then the *preimage of  $B$  w.r.t.  $f$*  is defined as

$$f^{-1}(B) = \{x \in X \mid \text{there is a } y \in B \text{ such that } y = f(x)\}.$$

Question: *How can we generalize this to fuzzy sets?*

## The Extension Principle

For a given function  $f : X \rightarrow Y$ , we define a fuzzy relation  $R$  as

$$\mu_R(x, y) = \begin{cases} 1 & \text{if } y = f(x) \\ 0 & \text{otherwise} \end{cases}$$

Let  $A$  be a fuzzy set on  $X$  and  $B$  be a fuzzy set on  $Y$ . Then we can compute  $\hat{f}(A)$  and  $\hat{f}^{-1}(B)$  as  $R_T(A)$  and  $R_T^{-1}(B)$ , respectively, which simplify to

$$\mu_{\hat{f}(A)}(y) = \sup\{\mu_A(x) \mid y = f(x)\},$$

$$\mu_{\hat{f}^{-1}(B)}(x) = \sup\{\mu_B(y) \mid y = f(x)\}.$$

## Representation by Means of $\alpha$ -Cuts

For  $\alpha \in [0, 1[$ , the *strict  $\alpha$ -cut* of a fuzzy set  $A$  on  $X$  is a crisp set defined as

$$A^{>\alpha} = \{x \in X \mid \mu_A(x) > \alpha\}.$$

For a given function  $f : X \rightarrow Y$ , the following holds for all  $\alpha \in [0, 1[$ :

$$\hat{f}(A)^{>\alpha} = f(A^{>\alpha})$$

## Example

$$X = \{a, b, c, d, e\}, Y = \{r, s, t, u\}$$

$x$	$\mu_A(x)$
$a$	0.6
$b$	0.4
$c$	0.1
$d$	0.0
$e$	0.3

$x$	$f(x)$
$a$	$r$
$b$	$s$
$c$	$r$
$d$	$t$
$e$	$s$

$y$	$\mu_B(y)$
$r$	0.0
$s$	0.3
$t$	0.7
$u$	0.1

## Extension Principle for Cartesian Products

Suppose we are given a function  $f : X_1 \times \cdots \times X_n \rightarrow Y$ , and fuzzy sets  $A_i$  on the respective  $X_i$  (for  $i = 1, \dots, n$ ).

How can we define  $\hat{f}(A_1, \dots, A_n)$ ?

Given a t-norm  $T$ , the  $n$ -ary  $T$ -extension of  $f$ , denoted  $\hat{f}_T$  is defined as

$$\mu_{\hat{f}_T(A_1, \dots, A_n)}(y) = \sup\{T(\mu_{A_1}(x_1), \dots, \mu_{A_n}(x_n)) \mid x_i \in X_i \text{ and } y = f(x_1, \dots, x_n)\}.$$

## Representation by Means of $\alpha$ -Cuts

The following holds for all  $\alpha \in [0, 1[$ :

$$\hat{f}_{T_{\mathbf{M}}}(A_1, \dots, A_n)^{>\alpha} = f(A_1^{>\alpha}, \dots, A_n^{>\alpha})$$

Note that this *does not hold* for  $T \neq T_{\mathbf{M}}$ !

## Fuzzy Arithmetics

Let  $A_1$  and  $A_2$  be two fuzzy sets on the real numbers  $\mathbb{R}$ .

- The  $T$ -extension of the addition  $f(x_1, x_2) = x_1 + x_2$  is called  $T$ -addition ( $T$ -sum). We use the special notation

$$A_1 \oplus_T A_2 = \hat{f}_T(A_1, A_2).$$

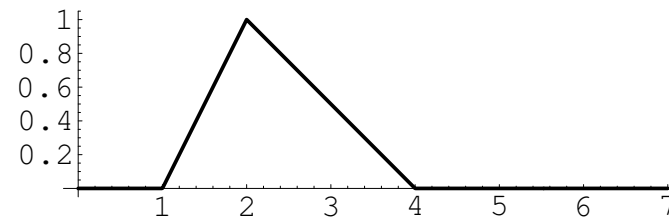
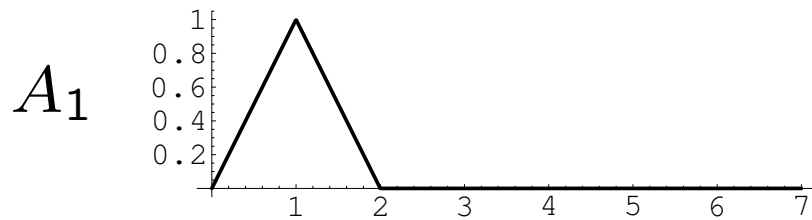
- The  $T$ -extension of the multiplication  $f'(x_1, x_2) = x_1 \cdot x_2$  is called  $T$ -multiplication ( $T$ -product). We use the special notation

$$A_1 \otimes_T A_2 = \hat{f}'_T(A_1, A_2).$$



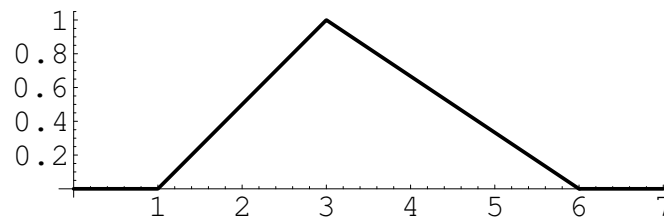


# Example: Addition of Fuzzy Sets



$A_2$

$$A_1 \oplus_{T_M} A_2$$



## Fuzzy Numbers

A fuzzy set  $A$  on the real numbers  $\mathbb{R}$  is called a *fuzzy number* if it has the following properties:

**Normality:** there is an  $x \in \mathbb{R}$  such that  $\mu_A(x) = 1$

**Convexity:** every strict  $\alpha$ -cut is an interval

**Boundedness:** all strict  $\alpha$ -cuts are bounded

## Some Remarks

- If  $x_0$  is a value where  $\mu_A(x_0) = 1$  for a fuzzy number  $A$ , then the membership function  $\mu_A$  is non-decreasing to the left of  $x_0$  and non-increasing to the right of  $x_0$
- A fuzzy set  $A$  on  $\mathbb{R}$  is convex if and only if the following holds for all chains  $x \leq y \leq z$ :

$$\mu_A(y) \geq \min(\mu_A(x), \mu_A(z))$$

- Some authors call our notion of a fuzzy number a *fuzzy interval* and use the term “fuzzy number” under additional, more restrictive assumptions

## Trapezoidal Fuzzy Numbers

A chain of real numbers  $a < b \leq c < d$  defines a *trapezoidal fuzzy number*  $A$  in the following way:

$$\mu_A(x) = \begin{cases} 0 & \text{if } x < a \\ \frac{x-a}{b-a} & \text{if } a \leq x < b \\ 1 & \text{if } b \leq x \leq c \\ \frac{d-x}{d-c} & \text{if } c < x \leq d \\ 0 & \text{if } d < x \end{cases}$$

## Triangular Fuzzy Numbers

A chain of real numbers  $a < b < c$  defines a *triangular fuzzy number*  $A$  in the following way:

$$\mu_A(x) = \max\left(\min\left(\frac{x-a}{b-a}, \frac{c-x}{c-b}\right), 0\right)$$

Note that triangular fuzzy numbers are nothing else but trapezoidal fuzzy numbers for which  $b = c$  holds.

## Adding Trapezoidal Fuzzy Numbers

Assume that we have two trapezoidal fuzzy numbers  $A_1$  and  $A_2$  derived from two chains  $a_1 < b_1 \leq c_1 < d_1$  and  $a_2 < b_2 \leq c_2 < d_2$ , respectively.

Then the  $T_{\mathbf{M}}$ -sum of  $A_1$  and  $A_2$  is a trapezoidal fuzzy number derived from the chain

$$a_1 + a_2 < b_1 + b_2 \leq c_1 + c_2 < d_1 + d_2.$$

## Adding Triangular Fuzzy Numbers

Assume that we have two triangular fuzzy numbers  $A_1$  and  $A_2$  derived from two chains  $a_1 < b_1 < c_1$  and  $a_2 < b_2 < c_2$ , respectively.

Then the  $T_M$ -sum of  $A_1$  and  $A_2$  is a triangular fuzzy number derived from the chain

$$a_1 + a_2 < b_1 + b_2 < c_1 + c_2.$$

## Final Remarks

- The last two assertions only hold for the  $T_{\mathbf{M}}$ -extension, not for any other t-norms.
- The Yager family is the only class of t-norms that preserves the trapezoidal/triangular shape. In case  $T \neq T_{\mathbf{M}}$ , however, the formulas are more complicated.
- Other t-norms do not even preserve the piecewise linear shape.
- Multiplication of fuzzy numbers does not preserve the piecewise linear shape, no matter which t-norm we